

PATENT
787444-2001

Section

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Lau et al.
Serial No. : 09/313,828
For : TARGETED LIPOSOMAL DRUG DELIVERY SYSTEM
Filed : May 18 1999
Examiner : Not yet assigned
Art Unit : 1615

745 Fifth Avenue
New York, NY 10151

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Hon. Commissioner of Patents and Trademarks Washington, DC 20231, on July 22, 1999.

Jerome Rosenstock Reg. No. 25,456

Name of Applicant, Assignee or Registered Representative

Signature

July 22, 1999.

Date of Signature

**COMPLIANCE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION AND FEE**

Assistant Commissioner for Patents,
Washington, D.C. 20231
Attn: Box Missing Parts

Sir:

This paper is being filed to comply with the "NOTICE TO FILE MISSING PARTS OF APPLICATION" mailed June 8, 1999, (hereinafter referred to as the "NOTICE").

REMARKS

In compliance with the NOTICE, enclosed herewith is a DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY which has been executed by the inventors of the subject patent application, namely, John R. Lau, W. Blair Geho and George H. Snedeker.

Enclosed is the requisite copy of the NOTICE.

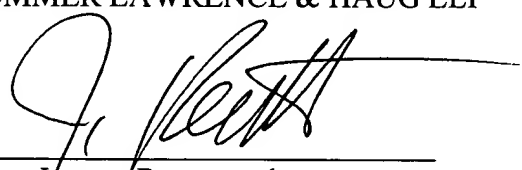
Enclosed is a check No. 06353 for \$65.00 (sixty-five) dollars for payment of the surcharge set forth in 37 CFR 1.16(e). In this regard, the NOTICE erroneously designated the applicant as a non-small entity. Applicant is a small entity as indicated in the enclosed declaration claiming small entity status which was filed for provisional application serial No. 60/085,969, filed May 19, 1999, of which the subject application claims priority.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 50-0320.

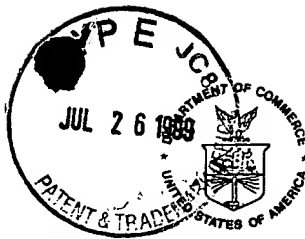
The Examiner is hereby authorized to call the undersigned attorney of record "collect" on any matter connected with this application. The telephone number is 212-588-0800. In the absence of the undersigned attorney of record, the call will be accepted by any attorney empowered in this application.

Respectfully submitted,
FROMMER LAWRENCE & HAUG LLP

By: _____


Jerome Rosenstock
Attorney for Applicants
Registration No. 25,456
(212) 588-0800

PROVISIONAL APPLICATION
FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS
60/085,969	05/19/98	\$75.00	227190005	8

HELLER EHRMAN WHITE & MCAULIFFE
525 UNIVERSITY AVENUE
PALO ALTO CA 94301

Receipt is acknowledged of this Provisional Application. This Provisional Application will not be examined for patentability. Be sure to provide the PROVISIONAL APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to Box Provisional Application within 10 days of receipt. Please provide a copy of the Provisional Application Filing Receipt with the changes noted thereon. This Provisional Application will automatically be abandoned twelve (12) months after its filing date and will not be subject to revival to restore it to pending status beyond a date which is after twelve (12) months from its filing date.

Applicant(s) JOHN R. LAU, HOWARD, OH; W. BLAIR GEHO, WOOSTER, OH;
GEORGE H. SNEDEKER, WOOSTER, OH.

FOREIGN FILING LICENSE GRANTED 06/09/98
TITLE
TARGETED LIPOSOMAL DRUG DELIVERY SYSTEM

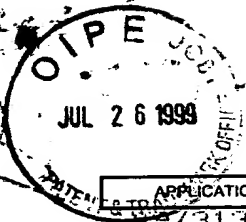
* SMALL ENTITY *

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HEWM
PALO ALTO

JUN 15 1998

COMPUTER ENTRY

NO. _____ BY



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
89/313,828	05/18/99 LAU		78/444-2001

0262/0608

JEROME ROSENSTOCK
FROMMER LAWRENCE & HAUG LLP
745 FIFTH AVENUE
NEW YORK NY 10151

NOT ASSIGNED

1615

DATE MAILED: 06/08/99

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity, in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:
☐ missing.
☐ insufficient.
Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.
\$ _____ for _____ independent claims over 3.
\$ _____ for _____ dependent claims over 20.
\$ _____ for multiple dependent claim surcharge.
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:
☒ is missing or unexecuted.
☐ does not cover the newly submitted items.
☐ does not identify the application to which it applies.
☐ does not include the city and state or foreign country of applicant's residence.
An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/27/1999 55ANDARA 00000116 89313828

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65.00 0P